Form PTO-1449

Robert H. Gerber Applicant: Serial No.:

10/693,735

Att'y Docket No.: 13768.1413

Group: 2191

Sheet 1 of 14

Filing Date: Confirmation No.:

For:

October 24, 2003 2403

System and Method for Preference Application Installation and Execution

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

Examiner <u>Initial*</u>	Patent Number	Issue <u>Date</u>	Name
A1.	6292825	09/18/2001	Chang
A2.	6260148	07/10/2001	Aggarwal
A3.	6209011	03/27/2001	Vong
A4.	6208996	03/27/2001	Ben-Shachar
A5.	5974406	10/26/1999	Bisdikian
A6.	5973612	10/26/1999	Deo
A7.	6826560	11/30/2004	Leymann
A8.	6487548	11/26/2002	Leymann
A9.	6510429	01/21/2003	Todd
A10.	4774658	09/27/1988	Lewin
A11.	5416725	05/16/1995	Pacheco
A12.	6219782	04/17/2001	Khan

Examiner:

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 Applicant: Robert H. Gerber

 Serial No.:
 10/693,735
 Att'y Docket No.: 13768.1413

 Filing Date:
 October 24, 2003
 Group: 2191

Sheet 2 of 14

Filing Date: October 24, 2003
Confirmation No.: 2403

Confirmation No.: 2403
For: System and Method for Preference Application Installation and Execution

_A13.	6643682	11/04/2003	Todd
 _A14.	6055570	04/25/2000	Nielsen
 _ A15.	5893091	04/06/1999	Hunt
 _ A16.	6438618	08/20/2002	Lortz
_ A17.	5852812	12/22/1998	Reeder
 _ A18.	6138158	10/24/2000	Boyle
 _ A19.	6751657	06/15/2004	Zothner
_ A20.	6910033	06/21/2005	Rosenblum
 _ A21.	5813007	09/22/1998	Nielsen
A22.	6353926	03/05/2002	Parthesarathy
 _ A23.	6167448	12/26/2000	Hemphill
_ A24.	6173284	01/09/2001	Brown
 _ A25.	6185613	02/06/2001	Lawson
_ A26.	6424966	07/23/2002	Meyerzon
_ A27.	6829639	12/07/2004	Lawson
 A28.	6910070	06/21/2005	Mishra

Examiner:

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 Applicant:

Robert H. Gerber

.....

Serial No.:

For:

10/693,735 October 24, 2003 Att'y Docket No.: 13768.1413

Sheet 3 of 14 : 13768.1413 Group: 2191

Filing Date: Confirmation No.:

2403

2403
System and Method for Preference Application Installation and Execution

	_ A29.	6055505	04/25/2000	Elston
	_ A30.	5555346	09/10/1996	Gross
	_ A31.	6256664	07/03/2001	Donoho
	_ A32.	6275957	08/14/2001	Novik
	_ A33.	6400810	06/04/2002	Skladman
	_ A34.	6405191	06/11/2002	Bhatt
	A35.	6466949	10/15/2002	Yang
	A36.	5367633	11/22/1994	Matheny
	A37.	6988262	01/17/2006	Mallory
	A38.	6981250	12/27/2005	Wiltamuth
	_ A39.	6938240	08/30/2005	Charisius
	_ A40.	6704803	03/09/2004	Wilson
	A41.	5999978	12/07/1999	Angal
	A42.	6151643	11/21/2000	Cheng
	_ A43.	6829770	12/07/2004	Hinson
_	_ A44.	6839730	01/04/2005	Ramabhadran

Examiner:

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE Form PTO-1449

For:

Sheet 4 of 14

Applicant: Robert H. Gerber Serial No.: 10/693,735 October 24, 2003

Att'y Docket No.: 13768.1413

Filing Date: 2403 Group: 2191

Confirmation No.:

System and Method for Preference Application Installation and Execution

A45.	6662195	12/09/2003	Langseth
A46.	6480885	11/12/2003	Oliver
A47.	6122633	09/19/2000	Leyman
A48.	6629138	09/30/2003	Lambert
A49.	6314533	11/06/2001	Novik
A50.	7177859	02/13/2007	Pather
A51.	6021403	02/01/2000	Horvitz
A52.	6748318	06/08/2004	Jones
A53.	6904383	06/07/2005	Tanaka
A54.	6807482	10/19/2004	Utsumi
A55.	6574552	06/03/2003	Yano
A56.	6829478	12/07/2004	Layton
A57.	6847889	01/25/2005	Park
A58.	7032115	04/18/2006	Kashani
A59.	6601012	07/29/2003	Horvitz
A60.	6513026	01/28/2003	Horvitz

Examiner:

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Serial No.:

For:

Form PTO-1449 Applicant:

Robert H. Gerber 10/693,735

Att'y Docket No.: 13768.1413

Filing Date:

October 24, 2003

Group: 2191

Sheet 5 of 14

Confirmation No.: 240

2403

G104p. 217.

			
A61	. 7275235	09/25/2007	Molinari
A62	. 7509304	03/24/2009	Pather

System and Method for Preference Application Installation and Execution

U.S. Publication Documents

Examiner Initial* A63.	Publication Number 2002-0165729	Date Published 11/07/2002	Name Kuebert
A64.	2002-0080938	06/27/2002	Alexander
A65.	2004-0128359	07/01/2004	Horvitz
A66.	2002-0032771	03/14/2002	Gledje
A67.	2002-0032597	03/14/2002	Chanos
A68.	2002-0069244	06/06/2002	Blair
A69.	2002-0154010	10/24/2002	Tu
A70.	2003-0177402	09/18/2003	Piazza
A71.	2002-0010804	01/24/2002	Sanghvi
A72.	2003-0154193	08/14/2003	Rosenblum
A73.	2003-0131143	07/10/2003	Myers
A74.	2003-0083952	05/01/2003	Simpson

Examiner:

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE Form PTO-1449

Sheet 6 of 14

Robert H. Gerber Applicant: Serial No.: 10/693,735

Att'y Docket No.: 13768.1413

Filing Date:

October 24, 2003 2403

Group: 2191

Confirmation No.: For:

System and Method for Preference Application Installation and Execution

A75.	2002-0077842	06/20/2002	Charisius
 A76.	2003-0101322	05/29/2003	Gardner
 A77.	2002-0075293	06/20/2002	Charisius
 A78.	2004-0002988	01/01/2004	Seshadri
 A79.	2002-0035482	03/21/2002	Coble
 A80.	2001-0009016	07/19/2001	Hoffman
 A81.	2002-0032602	03/14/2002	Lanzillo
 A82.	2002-0032771	03/14/2002	Gledje
 A83.	2002-0095399	07/18/2002	Devine
 A84.	2002-0120711	08/29/2002	Bantz
 A85.	2002-0087740	07/04/2002	Castanho
 A86.	2002-0032597	03/14/2002	Chanos
 A87.	2004-0002988	01/01/2004	Seshadri
 A88.	2002-0194305	12/29/2002	Sadeghi
 A89.	2002-0136173	09/26/2002	Monroe
 A90.	2003-0050046	03/13/2003	Connelly

Examiner:

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant: Robert H. Gerber Serial No.: 10/693,735

Filing Date:

10/693,735 October 24, 2003 Att'y Docket No.: 13768.1413

Group: 2191

Sheet 7 of 14

Confirmation No.:

For:

2403

System and Method for Preference Application Installation and Execution

A91.	2002-0082919	06/27/2002	Landau
A92.	2003-0046539	03/06/2003	Negawa
A93.	2003-0025599	02/06/2003	Monroe
A94.	2002-0077910	06/20/2002	Shioda
A95.	2020-0115423	08/22/2002	Hatae
A96.	2003-0069002	04/10/2003	Hunter
A97.	2002-0167488	11/14/2002	Hinckley
A98.	2004-0083463	05/27/2004	Hawley
A99.	2004-0002958	01/01/2004	Seshadri
A100.	2004-0068481	04/08/2004	Seshadri
	U.S. Applica	tion Documents	
Examiner	Application	Date	

Examiner:

Number

A101. 10/375,628

Initial*

Date Considered:

Name

Pather

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Filed

02/26/2003

VIA eFILE Form PTO-1449

Sheet 8 of 14 Robert H. Gerber

Applicant: Serial No.:

10/693.735

Att'v Docket No.: 13768.1413

Filing Date: Confirmation No.: October 24, 2003

Group: 2191

For:

2403

System and Method for Preference Application Installation and Execution

Sasu Tarkoma "Scalable Internet Event Notification Architecture (Siena)"

T -/ 1-

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner
Initial*

A102.	g 2002, Helsi	nki	. Drein 1	0			. (-	,,,,,,
A103.	Muraskin,	"Notification	Engines	and	Apps",	Oct.	5,	2001,

A104.	Event	Broker/Monitor	(Веа	Tuxeao	System),	1999,
	http://edo	cs.bea.com/wie/wie4	2/admin/ev	ents.http		
A105.	BEA Sys	tems, "Event Subscri	ption and l	Notifications'	', 2000, 4 Page	s printed

	07/25/2005 from http://e-docs.bea.com/tuxedo/tux71/html/dvlibra7.htm
A106.	Hanson et al., "A Flexible and Recoverable Client/Server Database Even Notification System" 1998, VLDB Journal, Volume 7, Pages 12-24.

A107. Berndtsson, et al., "Cooperative Problem Solving: A New Direction for Active Databases", 1996, 4 Pages, 2 Pages of Citation

SmallTalk Chronicles, Vol. 1, No. 2, 1999, Printed 03/19/03, 9 Pages.

- Vassili Bykov, "TOPlink for SmallTalk 5.0: What's New, What's Old?", A108.
- A109. "Configurable Interface for Processing Notifications", August 19, 1999,

www.sba.weidner.edu/sahelp, Printed 03/06/03, 3 Pages.

Date Considered: Examiner:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE Form PTO-1449 Applicant: Serial No.: Filing Date: Confirmation No. For:	Robert H. Gerber 10/693,735 October 24, 2003 2403 System and Method for Preference Application Installation and Execution
A110.	IEEE, "The Authorative Dictionary of IEEE Standards Terms", 2000, 7th Edition, Page 701 $$
A111.	"Interactive Notification Server", www.brience.com, Copyright 2000, Printed 03/10/03, 5 Pages
A112.	Steve Trythall, "JMS and COBRA Notification Interworking", 12/12/01, www.oreilly.com, Printed 03/25/03, 9 Pages.
A113.	Microsoft Corporation, "Global XML Web Services Architecture", White Paper, October 2001, 11 Pages.
A114.	Microsoft Corporation, "An Introduction to Microsoft Transaction Server", January 8, 1998, Printed 10/21/05 from http://msdn.microsoft.com/archive/ens/dnarmts/html/msdn_mtsintro.asp. 5 Pages.
A115.	Rosenblum et al., " A Design Framework for Internet-Scale Event Observation and Notification", 1998, 17 Pages
A116.	Microsoft Corporation, "Microsoft SQL Server Notification Services Technical Overview", April 2002, White Paper, 23 Pages
A117.	Rob Taylor, "Transact-SQL", 20 December 2000, SQLTeam.com, 2 Pages Printed on 01/26/05 from http://sqlteam.com/item.asp?itemID=1650
A118.	Michael Kantor and David Redmiles, Creating an Infrastructure for Ubiquitious Awareness, Information and Computer Science, University of California, Irvine 92697, 2001
A119.	Bowman et al., "The Practical SQL Handbook: Using SQL Variants", 2001 Pearson Technology Group, 4th Edition.

Examiner: Date Considered:

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE Sheet 10 of 14 Form PTO-1449 Robert H. Gerber Applicant: Att'v Docket No.: 13768.1413 Serial No.: 10/693,735 October 24, 2003 Group: 2191 Filing Date: Confirmation No.: 2403 System and Method for Preference Application Installation and Execution For: Antonio Carzaniga, "Design of a Scalable Event Notification Service: A120. Interface and Architecture, August of 1998, University of Colorado. A121. Eric Schmidt, "Reliable XML Web Services", December 11, 2001 Microsoft MSDN, 10 Pages, Last Printed on April 7, 2006. Bernheim Brush et al., "Notification for Shared Annotation of Digital A122. Documents", April 2002, ACM Press, Vol. 4, Issue No. 1, Pages 89-96 Chou et al., "Versions and Change Notification in an Object-Oriented A123. Database System", June 1988, IEEE Computer Society Press, Paper 20.4 275-281 Gruber et al., "High-Level Constructs in the READY Event Notification A124. System", September 1998, ACM Press, Page 195-202 Stelovsky et al., Software Architecture for Unified Architecture for Unified A125. Management of Event Notification and Stream I/O and its Use for Recording and Analysis of User Events, 7-10 Jan 2002, IEEE, 1862-1867. Cabrera et al., Herald: Archiving a Global Event Notification Service, 20-22 A126. May 2001, IEEE, 87-92. Office Action dated 11/03/05 cited in Application No. 10/376,197 (Copy ___ A127.

Examiner: Date Considered:

Attached)

Attached)

A128.

Office Action dated 04/14/06 cited in Application No. 10/376,197 (Copy

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE Sheet 11 of 14 Form PTO-1449 Applicant: Robert H. Gerber Att'y Docket No.: 13768.1413 Serial No.: 10/693.735 Group: 2191 Filing Date: October 24, 2003 Confirmation No.: 2403 System and Method for Preference Application Installation and Execution For: Office Action dated 09/29/06 cited in Application No. 10/376,197 (Copy A129. Attached) A130. Office Action dated 03/08/07 cited in Application No. 10/376,197 (Copy Attached) Office Action dated 09/25/07 cited in Application No. 10/376,197 (Copy A131. Attached) Office Action dated 03/27/08 cited in Application No. 10/376,197 (Copy A132. Attached) Office Action dated 12/29/08 cited in Application No. 10/376,197 (Copy A133. Attached) Notice of Allowance dated 06/11/09 cited in Application No. 10/376,197 A134. (Copy Attached) Office Action dated 11/02/05 cited in Application No. 10/375,628 (Copy A135. Attached) Office Action dated 04/14/06 cited in Application No. 10/375,628 (Copy A136. Attached) Office Action dated 09/29/06 cited in Application No. 10/375,628 (Copy _____ A137. Attached)

Examiner: Date Considered:

A138.

Attached)

Office Action dated 03/08/07 cited in Application No. 10/375,628 (Copy

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE Form PTO-1449 Applicant: Serial No.: Filing Date: Confirmation No. For:	Robert H. Gerber 10/693,735 Att'y Docket No.: 13768.1413 October 24, 2003 Group: 2191 : 2403 System and Method for Preference Application Installation and Execution
A139.	Office Action dated 09/25/07 cited in Application No. 10/375,628 (Copy Attached)
A140.	Office Action dated 03/25/08 cited in Application No. 10/375,628 (Copy Attached)
A141.	Office Action dated 10/05/05 cited in Application No. 10/373,328 (Copy Attached)
A142.	Office Action dated 03/24/06 cited in Application No. $10/373,328$ (Copy Attached)
A143.	Office Action dated 09/28/06 cited in Application No. $10/373,328$ (Copy Attached)
A144.	Office Action dated $03/26/07$ cited in Application No. $10/373,328$ (Copy Attached)
A145.	Office Action dated 12/28/07 cited in Application No. 10/373,328 (Copy Attached)
A146.	Office Action dated $06/26/08$ cited in Application No. $10/373,328$ (Copy Attached)
A147.	Notice of Allowance dated 01/06/09 cited in Application No. 10/373,328 (Copy Attached)

Examiner: Date Considered:

A148.

Attached)

Office Action dated 10/20/05 cited in Application No. 10/375,250 (Copy

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE Form PTO-1449 Applicant: Serial No.: Filing Date: Confirmation No. For:	Robert H. Gerber 10/693,735 Att'y Docket No.: 13768.1413 October 24, 2003 Group: 2191 : 2403 System and Method for Preference Application Installation and Execution
A149.	Office Action dated 03/14/06 cited in Application No. 10/375,250 (Copy Attached)
A150.	Office Action dated 08/22/06 cited in Application No. 10/375,250 (Copy Attached)
A151.	Notice of Allowance dated 02/07/07 cited in Application No. 10/375,250 (Copy Attached)
A152.	Office Action dated 02/14/06 cited in Application No. 10/375,300 (Copy Attached)
A153.	Office Action dated 07/26/06 cited in Application No. 10/375,300 (Copy Attached)
A154.	Office Action dated 12/20/06 cited in Application No. 10/375,300 (Copy Attached)
A155.	Office Action dated $06/01/07$ cited in Application No. $10/375,300$ (Copy Attached)

Examiner: Date Considered:

(Copy Attached)

A156.

Notice of Allowance dated 01/17/08 cited in Application No. 10/375,300

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 Applicant: Robert H. Gerber

Serial No.: 10/693,735

Filing Date:

Confirmation No.:

October 24, 2003

2403

Att'v Docket No.: 13768.1413

Group: 2191

Sheet 14 of 14

For

System and Method for Preference Application Installation and Execution

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

CRB0000007109V001

Examiner:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.